

RECOMMENDATION TRACKING REPORT Q4 2021/22

Audit Title	Date Final Issued	Date of Follow up	Assurance Rating	Recommendations								Total Recs Acc'd	Total Recs Imp'd	Total Rec Not Imp'd*	Comments
				HR		MR		LR		GP					
				A	I	A	I	A	I	A	I				
Casllwchwr Primary	01/02/21	05/01/22	Substantial Assurance	0	0	9	9	1	1	0	0	10	10	0	
Officers Expenses	03/11/20	10/01/22	High Assurance	0	0	0	0	2	2	5	5	7	7	0	
Glynn Vivian Art Gallery	31/08/21	19/01/22	Substantial Assurance	0	0	1	0	8	8	2	2	11	10	1	2.11.1 "A full valuation should now be considered as a substantial time has passed since the last valuation."
Swansea Museum	19/01/22	20/01/22	Substantial Assurance	0	0	1	0	8	8	0	0	9	8	1	2.9.1 "A full independent valuation of assets should now be considered."
Secondary Schools Procurement - Expenditure Exercise	05/03/21	18/01/22	Substantial Assurance	0	0	2	2	2	2	1	1	5	5	0	
Primary Schools Procurement - Expenditure Exercise	24/09/20	19/01/22	Substantial Assurance	0	0	2	2	2	2	0	0	4	4	0	
Sports - Development	18/05/21	19/01/22	High Assurance	0	0	0	0	4	4	0	0	4	4	0	
Taxi Authorisations	13/12/19	19/01/22	High Assurance	0	0	2	2	2	2	3	3	7	7	0	
Leaving Care Act	16/06/20	21/01/22	Substantial Assurance	0	0	2	2	5	5	1	1	8	8	0	
Delegated Decision Making	31/03/21	27/01/22	High Assurance	0	0	0	0	0	0	5	5	5	5	0	
Development & Physical Regeneration	03/02/21	01/02/22	Substantial Assurance	0	0	1	1	3	3	2	1	6	5	1	2.1.3 "Work across the council being undertaken on the website, this is not complete and has been impacted by covid."

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Swansea Market	08/05/19	17/01/22	Substantial Assurance	0	0	3	2	6	4	5	5	14	11	3	2.4.1 "Relocate files by the end of March. 2.4.3 b) "Agree a strategy with Corporate Property and Legal regarding the Market leases (by the end of March). 2.4.3 c) "As above "
Facilities Management	15/01/21	15/02/22	Substantial Assurance	0	0	2	2	7	7	0	0	9	9	0	
Information Governance	19/02/20	15/02/22	Substantial Assurance	0	0	4	2	4	3	4	3	12	8	4	2.3 2 & 2.3.8 & 2.4.6 re-recommended in the current audit 2.3.9 not implemented due to lockdown and covid
Outdoor Leisure	15/11/21	04/03/22	Substantial Assurance	0	0	2	2	1	1	0	0	3	3	0	
Early Years Play	03/12/21	04/03/22	Substantial Assurance	0	0	1	1	1	1	2	2	4	4	0	
Swansea Mobility Hire	30/09/21	15/03/22	Substantial Assurance	0	0	1	1	3	3	0	0	4	4	0	
Taxi Licensing	22/10/21	04/03/22	High Assurance	0	0	0	0	4	4	0	0	4	4	0	
Cleansing Operations	23/09/21	03/03/22	Substantial Assurance	0	0	2	2	1	1	6	6	9	9	0	
												135	125	10	92.6%

*Further details on the recommendations that have not been implemented are reported in Appendix 2

Key

HR - High Risk. **MR** - Medium Risk. **LR** - Low Risk. **GP** - Good Practice.

A - Accepted. **I** - Implemented